I hereby certify that this correspondence is being filed by depositing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Deposit Account Corrections, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

PATENT

Paper No.

File: Graff-P2-98

Date: July 9, 2003 Peter K. Trzyna (Reg. No. 32,601)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

GRAFF, Richard A.

Serial No.

09/134,453

Filed

14 August 1998

For

COMPUTERS MAKING FINANCIAL ANALYSIS OUTPUT

HAVING PROPERTY VALUATIONS

Group Art Unit

2761

Examiner

Rosen, N.

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

### REQUEST FOR REFUND OF PTO FEES

SIR:

On April 28, 2003, PTO Deposit Account 50-0235 was charged \$930.00 for Extension for response within third month for the above-identified application, which is a large entity fee.

On April 28, 2003, PTO Deposit Account 50-0235 was charged \$320.00 for

Filing a brief in support of an appeal for the above-identified application, which is a large entity

Adjustment date: 07/20/2003 EEKUBAY 04/26/2003 CMGU!PR- 00000099 500235 02 FC:1402 320.00 CR **EEKUBAY1** 

09134453

On August 14, 1998, a Verified Statement (Declaration) Claiming Small Entity

Status (37 C.F.R. § 1.9(f) and (1.27(c))-Small Business Owner was filed in the above-identified

Stabut date: 07/20/2003 ELXUDAY1 00000059 930.00 ER 09134453

500235 /ES/2003 ECKUBAY1 00000005 出品 88

patent application. A copy of same is enclosed, as well as a copy of the Filing Receipt showing applicant as a small entity. There has been no change in entity status since the application was filed.

Please credit the amount of \$625.00 to PTO Deposit Account No. 50-0235, and correct your records to show applicant claims small entity status.

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: July 9, 2003

P.O. Box 7131 Chicago, IL 60680-7131

(312) 240-0824

Peter K. Trzyna (Reg. No. 32,601)

## **BEST AVAILABLE COPY**

PTO-103X (Rev. 8-95)

FILING RECEIPT

CORRECTED



UNITED STATES L ARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

| APPLICATION NUMBER FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/134,453 08/14/98            | 2761         | 6714 00       | GRAFF-F2-96         | 17    | 44     | 7      |

PETER K TRZYNA P O BOX 7131 CHICAGO IL 60680-7131

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy or the Filing Receipt with the changes noted thereon.

Applicant(s)

RICHARD A. GRAFF, CHICAGO, IL.

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A CIP OF 08/181,632 01/12/94 PAT 5,802,501 WHICH IS A CIP OF 07/967,644 10/28/92 ABN

FOREIGN FILING LICENSE GRANTED 09/02/98 TITLE

\* SMALL ENTITY \*

MORE IMPROVED SYSTEM AND METHODS FOR COMPUTING TO SUPPORT DECOMPOSING PROPERTY INTO SEPARTELY VALUED COMPONENTS

PRELIMINARY CLASS: 705

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DATA ENTRY BY: BATES, DIANE

TEAM: 03 DATE: 12/02/98

(See Jeverse)

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Express Mail\* mailing label number 5573294701945

I. Peter K. Trzyna (Reg. No. 32, 601). hereby certify that this paper or lee is heire (contact the contact that the contact the c paper or fee is being deposited with the United States Postal Service "Express Mail Post Committee United States Postal paper or lee is being deposited with the United States Foress Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patients and Trademar Washington, D. 2.2731 on the defision forth below:

**PATENT** 

Paper No. 1

File: Graff-P2-98

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

GRAFF, Richard A.

Serial No.

Filed

14 August 1998

For

MORE IMPROVED SYSTEM AND METHODS FOR COMPUTING TO SUPPORT DECOMPOSING

PROPERTY INTO SEPARATELY VALUED

COMPONENTS

Group Art Unit

Examiner

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. §§ 1.9(f) and 1.27(c)) SMALL BUSINESS CONCERN

SIR:

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified as Graff/Ross Holdings (hereinafter, the "Assignee"), a partnership organized under the laws of the State of Illinois having an address at 400 North Michigan Avenue, Suite 415, Chicago, Illinois 60611. I further declare that this small business concern qualifies as a small business concern, as defined in 37 C.F.R. §§ 1.9(c) and 121.12 for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in the above-identified patent application, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of the Declaration Claiming Small Entity Status, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control

the other, or a third party (or parties) controls or has the power to control both.

I further declare that rights under contract or law for the above-identified invention and patent application have been conveyed to, and remain with, the small business concern identified above. These rights are exclusive and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

I acknowledge the duty to file in this application notification of any change in status resulting in loss of entitlement to small entity prior to paying, or at the time of paying, the earliest of the issue fee after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: Duguet 14/1998

Signed:

Title

I hereby certify that this correspondence is being filed by depositing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Deposit Account Corrections, Commissioner of Patents, F.C. Dow 1455, Alexandria, VA 22010-1455, on the data indicated below.

**PATENT** 

Paper No.

File: Graff-P2-98

Date: July 9, 2003

Peter K. Trzyna (Reg. No. 32,607

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: GRAFF, Richard A.

Serial No.

09/134,453

Filed

14 August 1998

For

COMPUTERS MAKING FINANCIAL ANALYSIS

**OUTPUT HAVING PROPERTY VALUATIONS** 

Group Art Unit

2761

Examiner

N. Rosen

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

#### TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

- 1. Request for Refund of PTO Fees;
- 2. A copy of the Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. § 1.9(f) and (1.27(c))-Small Business Owner filed on August 14, 1998; and
- 3. A copy of the Filing Receipt showing applicant as a small entity.

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Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Date: \_July 9, 2003

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824 Respectfully submitted,

Peter K. Trzyna (Reg. No. 32,601)

# **BEST AVAILABLE COPY**